

SECURITY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
FOX ET AL. )  
Serial No. 09/500,269 )  
Filing Date: February 8, 2000 )  
For: SYSTEM AND METHOD FOR )  
ASSESSING THE SECURITY )  
POSTURE OF A NETWORK USING )  
GOAL ORIENTED FUZZY LOGIC )  
DECISION RULES )

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Responsive to the Notice to File Missing Parts  
mailed April 7, 2000, Applicants enclose the following:

Filing fee of \$894.00 for a large entity.

- 1) Surcharge of \$130.00 for large entity.
- 2) Declaration and Power of Attorney.
- 3) Assignment to Harris Corporation, together with  
\$40 recordal fee and cover page.
- 4) Copy of "Notice to File Missing Parts of  
Application--Filing Date Granted" mailed  
April 7, 2000.

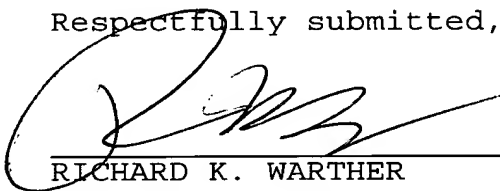
In re Patent Application of:  
**FOX ET AL.**  
Serial No. 09/500,269  
Filing Date: 02/08/2000

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Checks in the amounts of \$1,024.00 to cover the  
filing fee and surcharge, and \$40.00 to cover the assignment  
recordal fee are enclosed. <sup>2 diff. checks</sup>

If any additional extension and/or fee is required,  
or if any additional fee for claims is required, charge  
Account No. 01-0484.

Respectfully submitted,

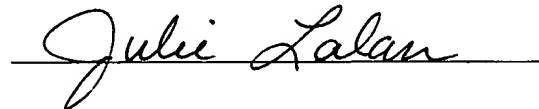


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RICHARD K. WARTHER  
Reg. No. 32,180  
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& Gilchrist, P.A.  
255 S. Orange Avenue  
Suite 1401  
Post Office Box 3791  
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407/841-2330

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as First Class  
Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231, on this 13<sup>th</sup> day of April,  
2000.



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RECEIPT

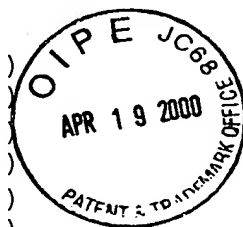
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
FOX ET AL.

Serial No. 09/500,269

Filing Date: February 8, 2000

For: SYSTEM AND METHOD FOR  
ASSESSING THE SECURITY  
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GOAL ORIENTED FUZZY LOGIC  
DECISION RULES



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JUN 29 2000  
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REQUEST FOR CORRECTION TO THE FILING RECEIPT

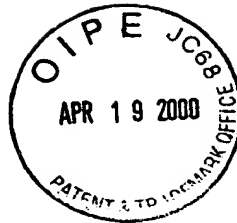
Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

The filing receipt for the subject patent application has been received and reviewed for accuracy. A discrepancy has been discovered. The filing receipt reflects the number of independent claims as "3", when in fact, the correct number of independent claims is 4.

In view of the error noted, it is respectfully requested that a corrected filing receipt be issued in this application. A copy of the filing receipt with the noted correction in red is enclosed.

In re Patent Application of:  
**FOX ET AL.**  
Serial No. 09/500,269  
Filing Date: 02/08/2000



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Since this error is believed to be the error of the Patent Office, no fee should be charged to Applicants. However, if any additional fee is believe to be necessary, authorization is given to charge deposit account No. 01-049.

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JUN 29 2000  
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Respectfully submitted,

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Orlando, Florida 32802  
407/841-2330  
Attorney for Applicants

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY that this **REQUEST FOR CORRECTION TO THE FILING RECEIPT** for U.S. Serial No. 09/500,269 is being deposited with the U.S. Postal Service as First Class Mail in an envelope to the following address this 13<sup>th</sup> day of April, 2000.

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

## FILING RECEIPT



\*OC000000005037814\*

UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/500,269	02/08/2000	2787	0	GCSD-1078 (51050)	14	27	

Richard K Warther  
Allen Dyer Doppelt Milbrath & Gilchrist PA  
255 S Orange Avenue Suite 1401  
PO Box 3791  
Orlando, FL 32802-3791

4  
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APR 13 2000  
A.D.D.M.G.

Date Mailed: 04/07/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kevin L. Fox, Palm Bay, FL ;  
Ronda R. Henning, West Melbourne, FL ;  
John T. Farrell, Melbourne, FL ;  
Clifford C. Miller, Palm Bay, FL ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 04/07/2000

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## Title

System and method for assessing the security posture of a network using goal oriented fuzzy  
logic decision rules

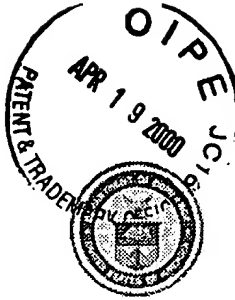
## Preliminary Class

713

## FORMALITIES LETTER



\*OC000000005037788\*

UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/500,269	02/08/2000	Kevin L. Fox	GCSD-1078 (51050)

Richard K Warther  
 Allen Dyer Doppelt Milbrath & Gilchrist PA  
 255 S Orange Avenue Suite 1401  
 PO Box 3791  
 Orlando, FL 32802-3791

Date Mailed: 04/07/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$126.  
 ■ \$126 for 7 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 946.**

*A copy of this notice MUST be returned with the reply.*

690.00  
 126.00  
 130.00  
 76.00

04/20/2000 MICHAEL 00000061 09500269

01 FC:101  
 02 FC:103  
 03 FC:105  
 04 FC:199

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**FILE COPY**

Bib Data Sheet

**UNITED STATES DEPARTMENT OF  
COMMERCE**

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#5

<b>SERIAL NUMBER</b> 09/500,269	<b>FILING DATE</b> 02/08/2000 <b>RULE</b> _	<b>CLASS</b> 713	<b>GROUP ART UNIT</b> 2787	<b>ATTORNEY DOCKET NO.</b> GCSD-1078 (51050)
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**APPLICANTS**

Kevin L. Fox, Palm Bay, FL ;  
 Ronda R. Henning, West Melbourne, FL ;  
 John T. Farrell, Melbourne, FL ;  
 Clifford C. Miller, Palm Bay, FL ;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

**IF REQUIRED, FOREIGN FILING LICENSE  
 GRANTED \*\* 04/07/2000**

Foreign Priority claimed ☐ yes ☐ no  
 35 USC 119 (a-d) conditions ☐ yes ☐ no ☐ Met after  
 met Allowance  
 Verified and Acknowledged  
 Examiner's Signature \_\_\_\_\_ Initials \_\_\_\_\_

**STATE OR  
COUNTRY**  
FL

**SHEETS  
DRAWING**  
14

**TOTAL  
CLAIMS**  
27

**INDEPENDENT  
CLAIMS**
**ADDRESS**

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**TITLE**

System and method for assessing the security posture of a network using goal oriented fuzzy logic decision rules

<b>FILING FEE RECEIVED</b> 1024	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

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